TRANSMITTAL O		Docket No. 15115.082001						
In Re Application Of: Yoshiyuki KOMURA, et al. SEP 2 2 2003								
Serial No.		Group Art Unit						
10/619,263								
Title: METHOD FOR I	MANUFACTURING OP	TICAL WAVEGUIDE AND OPTICA	L WAV	EGUIDE				
DEVICE, OPTIC	CAL WAVEGUIDE DEVI	ICE AND OPTICALWAVEGUIDE,	AND					
OPTICAL COMM	MUNICATION APPARA	TUS USING OPTICAL WAVEGUII	DE DEV	ICE				
Address to:  Commissioner for Patents  P.O. Box 1450  Alexandria, VA 22313-1450								
		37 CFR 1.97(b)						
1. A The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.								
		37 CFR 1.97(c)						
2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:								
☐ the statement specified in 37 CFR 1.97(e);								
OR								
☐ the fee se	et forth in 37 CFR 1.17(p	).						

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 15115.082001			
In Re Application: Yoshiyuki KOMURA, et al.								
Serial No.	Filing Date	( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( )	Examiner		Group Art Unit			
10/619,263 07/14/2003 Examine Character Charac								
METHOD FOR MANUFACTURING OPTICAL WAVEGUIDE AND OPTICAL WAVEGUIDE								
DEVICE, OPTIC	AL WAVEGUIDE DEVI	CE AND OP	TICALWAVEGUIDE, AN	ND				
OPTICAL COMMUNICATION APPARATUS USING OPTICAL WAVEGUIDE DEVICE								
Payment of Fee								
	(Only complete if App	olicant elects	to pay the fee set forth in 37 (	CFR 1.1	7(p))			
☐ A check in the amount of is attached.  ☐ The Director is hereby authorized to charge and credit Deposit Account No. 50-0591  ☐ as described below. ☐ Charge the amount of ☐ Credit any overpayment. ☐ Credit any additional fee required. ☐ Certificate of Transmission by Facsimile*  ☐ Certificate of Mailing by First Class Mail  ☐ I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:  ☐ Credit any overpayment. ☐ Certificate of Mailing by First Class Mail  ☐ I certify that this document and fee is being deposited on September 16, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.								
(Date)			Grenda C. Me Fadden					
Signature			Signature of Person Mailing Correspondence					
			Brenda C. Me	me of Person Mailing Certificate				
	Name of Person Signing Certifica		Typea or Printea Name	oj Perso	n Mauing Certificate			
10	L.P. 00 00		Dated: Gliblo3					
cc:								

Docket Number (Optional) Application Number 10/619,263 15115.082001 INFORMATION DISCLOSURE CITATION Applicant(s) Yoshiyuki KOMURA, et al. e several sheets if necessary) Group Art Unit SEP 2 2 2003 Filing Date 07/14/2003 U.S. PATENT DOCUMENTS PADERAR FILING DATE EXAMINER SUBCLASS CLASS DATE NAME DOCUMENT NUMBER INITIAL IF APPROPRIATE FOREIGN PATENT DOCUMENTS Translation DATE CLASS SUBCLASS COUNTRY REF DOCUMENT NUMBER YES NO G02B 6/122 A1 11/13/1998 10-300956 Japan A2 G02F 1/035 12/04/1998 10-319361 Japan G02B 6/122 Α3 01/22/1999 Japan 11-14845 A4 G02B 6/122 11/09/1999 Japan 11-311713 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Patent Abstracts of Japan, Publication No. 10-300956 dated 11/13/1998 (2pgs.)

Patent Abstracts of Japan, Publication No. 10-319361 dated 12/04/1998 (2 pgs.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

OIP	E					ATTY DOCKET NO. 15115.0		SERIAL NO.	619,263	
INFORMATION DISCLOSURE CITATION  (Use several sheets if necessary)				Yoshiyuki KOMURA, et al.						
SEP 22	2003			•		FILING <b>07/14</b> /		GROUP		
TARAD	ENARRE			U.S	. PATENT	DOCUMENTS				
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			OTHER DOCUM	ENTS (Includin	g Autho	r, Title, Date, Pert	tinent Pages, Et	c.)		
	В	1	Patent Abstracts of Jap	oan, Publication N	o. 11-014	845 dated 01/22/199	9 (2 pgs.)			
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	B	2	Patent Abstracts of Jap	oan, Publication N	o. 11-311	713 dated 11/09/199	9 (2 pgs.)			
EXAMINE	R					DATE CONSIDERE	ED			
*EXAMINE	R: Initial	if ref	ference considered, wheth	ner or not citation is	in conforr	nance with MPEP 609	9; Draw line through	citation if not in o	conformance	and not

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